CLIENT PROFILE SHEET

(All information provided is CONFIDENTIAL)

PERSONAL INFORMATION

Name________________________ **DOB**________________________

Address________________________ **SS #**________________________

Street________________________ **Phone (Home)**________________________

City________________________ **State**____________ **Zip**________________

Company/Business________________________ **Phone (Work)**________________________

Position/Job Title________________________ **Current Yearly Salary**________________

Spouse________________________ **DOB**________________________

Address________________________ **SS #**________________________

Street________________________ **Phone (Home)**________________________

City________________________ **State**____________ **Zip**________________

Company/Business________________________ **Phone (Work)**________________________

Position/Job Title________________________ **Current Yearly Salary**________________

Years of Investment Experience________________ **(client)** ________________ **(client)**

Send mail to: Work Home

Email Address:____

- We use email for our Client Update reports. If you prefer us to mail you a copy, please let us know.

PLEASE LIST ANY DEPENDENTS ON A SEPARATE SHEET OF PAPER.

CURRENT ASSETS

Estimated Current Investment Assets (including retirement accounts): ________________________

What portion (if none-indicate "None") do you need for anticipated expenses (i.e. education, real estate, retirement, etc.) during the next listed years?

one (1) ________________________ three (3)________________________
five (5) ________________________ ten (10)________________________
Other ____

We recognize that a portion, if not all of your portfolio, is with us. However, we help a large majority of our clients with their 401(k) or other retirement fund choices and allocations. This allows us to accurately balance your portfolio.

What portion of your total Current Investment Assets will be managed by Kiely Wealth Advisory Group, Inc?_________%.

If less than 100%, and you indicated above that you anticipate a need for a portion of your Current Investment Assets over the next 1-10 years, will Kiely Wealth Advisory Group, Inc. be managing that outside portion of your Current Investment Assets or will you? Please check one: **( )** YOU or **( )** KWAG

INVESTMENTS (not managed by KWAG)

Type of Account (Regular, IRA, 401(k), etc)	**Type of Investment** (CD's, bonds, shares, etc.)	**Current Value**
	Total	

ASSETS (OTHER) Equity Value of House and other Owned Property

Assets	**Value**

FINANCIAL GOALS

Desired Retirement Age:	Age:	Age:
Desired Retirement Income:	$	$

CHILDREN

Children's Education	**Years to College**	**Expected College**	**Expected Coll. Cost**
1st Child			
2nd Child			
3rd Child			

CURRENT LIABILITIES AND LOANS

Type of Account (Mortgage, Credit Card, Eqt.Line etc.)	**Amount Owed**	**Time Remaining on Contract**	**Interest Rate**	**Monthly Payment**

CLIENT OBJECTIVES/SUITABILITY

Conservative: Emphasis on generating a stable level of current income. Future capital appreciation is a secondary objective. Modest annual principal fluctuation is expected and acceptable. Portfolio will consist of a determined allocation among equities, fixed income, and cash, with a primary emphasis on providing regular fixed income. General Allocation: 50% fixed income - 50% equity. Types of Securities: Mutual Funds and Exchange Traded Funds. Fluctuations/Adjustments: The allocation of stocks to bonds can range from a 60% fixed income - 40% equity to 40% fixed income - 60% equity at discretion of the Adviser and is dependent upon market conditions, and any other outside investments.

Balanced: Emphasis on both current income and future capital appreciation. Principal risk and fluctuation is expected and acceptable over the intended investment time horizon (at least 5 years). Portfolio will consist of a determined allocation among equities, fixed income, and cash. General Allocation: 30% fixed income - 70% equity. Types of Securities: Mutual Funds and Exchange Traded Funds. Fluctuations/Adjustments: The allocation of stocks to bonds can range from a 40% fixed income - 60% equity to 20% fixed income - 80% equity at discretion of the Adviser and is dependent upon market conditions and any other outside investments.

Capital Appreciation: Primary emphasis is on future capital appreciation. Income is a secondary objective Principal risk and fluctuation is expected and acceptable over the intended long-term investment time horizon (in excess of 5 years). Portfolio will consist of a determined allocation among equities, fixed income, and cash, with a primary emphasis on equities, or stocks. General Allocation: 15% fixed income - 85% equity. Types of Securities: Mutual Funds and Exchange Traded Funds.

Fluctuations/Adjustments: The allocation of stocks to bonds can range from a 30% fixed income - 70% equity to 0% fixed income - 100% equity at discretion of the Adviser and is dependent upon market conditions and any outside investments.

- Please Note: Deviations may occur relative to Account allocations during any specific short-term period (6 months or less) due to market conditions or Adviser perceived and/or anticipated market developments. Of course, there can be no assurance that any such perceived and/or anticipated market developments will occur, be correct or prove profitable.

Please mark and X through the appropriate box:

( ) **Conservative**: Emphasis on generating a stable level of current income and capital preservation. Future capital appreciation is a secondary objective. Modest annual principal fluctuation is expected and acceptable. Portfolio will be allocated among equities, fixed income, and cash, with a primary emphasis on fixed income.

( ) **Moderate**: Emphasis on both current income and future capital appreciation. Principal risk and fluctuation is expected and acceptable over the intended investment time horizon (at least 3 years). Portfolio will be allocated among equities, fixed income, and cash.

( ) **Capital Appreciation:** Emphasis is on future capital appreciation. Principal risk and fluctuation is expected and acceptable over the intended long-term investment time horizon (in excess of 5 years). Portfolio will be allocated among equities, fixed income, and cash, with a primary emphasis on equities.

INVESTMENT OBJECTIVES

Please List Your Specific Objectives Including LONG TERM Objectives

INVESTMENT RESTRICTIONS/OTHER IMPORTANT INFORMATION AFFECTING YOUR FINANCES (IF NONE PLEASE INDICATE "NONE")

Please List Any Investment Restrictions

Please Note: Unless you indicate to the contrary in the spaces provided above, we will assume that there are no restrictions on our services, other than to manage the account in accordance with your designated investment objective[s](which will be based upon the information provided above and your indicated risk parameters, and confirmed in an Investment Objective[s] Confirmation letter).

Please Also Note: The responses set forth on this Questionnaire are intended to elicit information from you to assist in identifying your investment need(s)/objective(s) and risk parameters, which will then form the basis of an Investment Objective Confirmation letter to be prepared by Kiely Wealth Advisory Group, Inc. and presented to you for review/adoption. The Investment Objective Confirmation letter will be the document that shall confirm your investment objective and any corresponding investment-related restrictions, upon which Kiely Wealth Advisory Group, Inc., will rely in managing your account(s) until you advise Kiely Wealth Advisory Group, Inc., in writing, to the contrary.

SIGNATURE

Please Sign & Date
I/we confirm that all information supplied is accurate and current to the best of my/our knowledge.

Signature **Date** **Signature** **Date**

Please Remember: Past performance may not be indicative of future results. Different types of investments involve varying degrees of risk. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended and/or undertaken by Kiely Wealth Advisory Group, Inc.) will be profitable. Please remember that it remains your responsibility to advise Kiely Wealth Advisory Group, Inc., in writing, if there are any changes in the information provided above, including any change in your personal/financial situation, or if you would like to impose, add, or to modify any reasonable restrictions to Kiely Wealth Advisory Group, Inc. investment advisory services.